HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A — For use by Members, officers, and employees

Marcia (Marcy) C. Kaptur — (Full Name)

419-259-7500 — (Daytime Telephone)

MC

Filer Status	☑ Member of the U.S. House of Representatives — State: OH, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☐	☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☐	☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting [illegible]	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1848 Rivard Rd., Toledo, OH 43615	$50,001 - $100,000	No renter or rental income for 2010	NONE	
1854 Harlan Rd, Toledo, OH 43615	$50,001 - $100,000	RENT	$201 - $1,000	
5151 Fleet Rd., Toledo, OH 43615	$50,001 - $100,000	No renter or rental income for 2010.	NONE	

	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST-funds accrued in this separate account are from foregone congressional pay (raises) and are distributed annually to charitable causes	$1 - $200	
	DFA Global Fixed Income 1 yr (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	DFA Global Fixed Income 2 yr (Mutual Fund)	$1,001 - $15,000	DIVIDENDS/Capital Gain	$1 - $200	PS(part)
	DFA Global Fixed Income 5 yr (Mutual Fund)	None	DIVIDENDS/Capital Gain	$1,001 - $2,500	S
	DFA Selectively Hedged Fixed Income	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	DFA U.S. Core Equity I	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Key Bank	$1,001 - $15,000	INTEREST on Certificate of Deposit	$201 - $1,000	
	PNC Bank	$15,001 - $50,000	INTEREST on Certificate of Deposit	$1,001 - $2,500	
	RBS Citizens Bank (Charter One)	$15,001 - $50,000	INTEREST on Certificate of Deposit	$1,001 - $2,500	
	Schwab Money Market	$1 - $1,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	Suburban Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
	Transamerica Individual Retirement Annuity	$50,001 - $100,000	INTEREST	$1,001 - $2,500	Not a self directed IRA
	U.S. Savings Bonds	$50,001 - $100,000	None	NONE	
	Vanguard Inflation Protected Bonds (IPB)	$1,001 - $15,000	DIVIDENDS/Capital Gain	$201 - $1,000	
	Vanguard Short Term Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Marcia (Marcy) C. Kaptur

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. *Include transactions that resulted in a loss. Provide a brief description of any exchange* transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	DFA Selectively Hedged Fixed Income	P	N/A	12/01/2010	$1,001 - $15,000
	DFA 2 Year Global Fixed Income	P	N/A	12/01/2010	$1,001 - $15,000
	DFA 5 Year Global Fixed	S	Yes	12/01/2010	$15,001 - $50,000
	DFA 2 Year Global Fixed Income	S(part)	No	4/5/2010	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Marcia (Marcy) C. Kaptur

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, *employee, or consultant of any corporation, firm, partnership, or any business enterprise,* any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603. [a charity established in the names of Anastasia and Stephen Kaptur]